

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): HENRIQUE A. CAZAROTO, et al.

Docket No.

SN140 PCT1

Application No.

10/576771

Filing Date

21/APR/2006

Examiner

Customer No.

00137

Group Art Unit

8203

Invention: Process for Controlling Foam

Response to Notice to File Missing Parts; Copy of PCT Request Form PCT/RO/101;
Copy of Notification of Missing Requirements; Postcard

I hereby certify that this

(Identify type of correspondence)

is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope

addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

1-24-07

(Date)

Kelly Smith

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
SN140 PCT 1

In Re Application Of: HENRIQUE A. CAZAROTO ,et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/576771	21/APR/2006		00137		8203

Invention: Process for Controlling Foam

Mail Stop Missing Parts

COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
18-Jan-2007

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

A Copy of the PCT Request Form PCT/RO/101 including Declaration showing that this Notification of Missing Parts is not correct.

Surcharge of \$130.00 which should not be required since properly signed Declarations were submitted at the filing of the PCT Request Form.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
SN140 PCT 1

In Re Application Of: HENRIQUE A. CAZAROTO ,et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/576771	21/JUN/1995		00137		9475

Invention: Process for Controlling Foam

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

- | | |
|---|--------|
| <input type="checkbox"/> Utility application filing fee | _____ |
| <input type="checkbox"/> Design application filing fee | _____ |
| <input type="checkbox"/> Total number of independent claims = _____ | _____ |
| <input type="checkbox"/> Total number of claims = _____ | _____ |
| <input type="checkbox"/> Multiple dependent claims | _____ |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | 130.00 |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | _____ |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | _____ |
| <input type="checkbox"/> Fee for processing and retention of application | _____ |

Total completion of application fees _____

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due 130.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
SN140 PCT 1

In Re Application Of: HENRIQUE A. CAZAROTO ,et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/576771	21/JUN/1995		00137		9475

Invention: Process for Controlling Foam

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

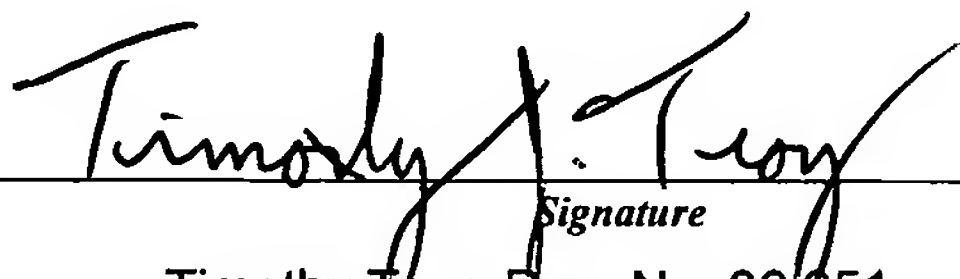
The fee of \$130.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-1520
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

01/31/2007 ATRAN1 00000047 041520 10576771

01 FC:1617 130.00 DA

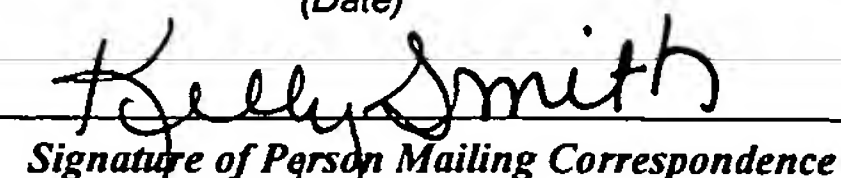

Signature

Timothy Troy, Reg. No. 36,951
Phone: 989-496-5533
Dow Corning Corporation
Mail Number C01232
P.O. Box 994
2200 W. Salzburg Road
Midland, MI 48686-0994
UNITED STATES OF AMERICA

Dated: JANUARY 23, 2007

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CF. 1.8(a)] on 1-24-07

(Date)


Signature of Person Mailing Correspondence

Kelly Smith

Typed or Printed Name of Person Mailing Correspondence

cc:

Certificate of Express Mailing (37 CFR 1.10)

EV319569596US

"Express Mail" Mailing Number

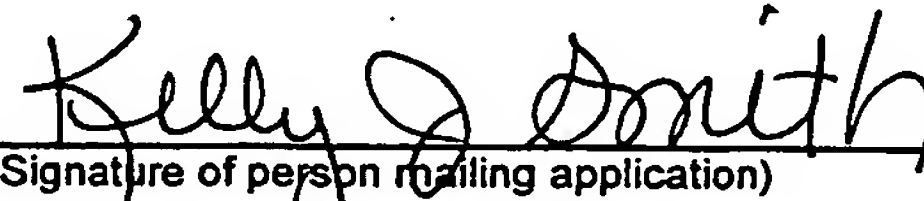
10-21-2004

Date of Deposit

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kelly J. Smith

(Typed or printed name of person mailing application)



(Signature of person mailing application)

PCT INTERNATIONAL APPLICATION COVER LETTER

Regarding the International Application of:

Docket or Reference Number: DCC Case No. SN140 PCT 1

Applicant(s): DOW CORNING CORPORATION et al.

Entitled: **PROCESS FOR CONTROLLING FOAM**

Corresponding to the following application(s):

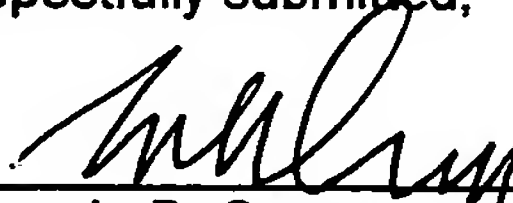
<u>Serial No.</u>	<u>Filing Date</u>	<u>Country</u>
0324673.3	23 October 2003	GB

To the United States Receiving Office (RO/US):

Accompanying this cover letter is the above-identified International Application, including a completed Request Form PCT/RO/101 and Fee Sheet. Please process the application according to the provisions of the Patent Cooperation Treaty.

Applicant hereby requests a Foreign Transmittal License under 35 USC 184. It is not believed that any section of 35 USC 181 applies.

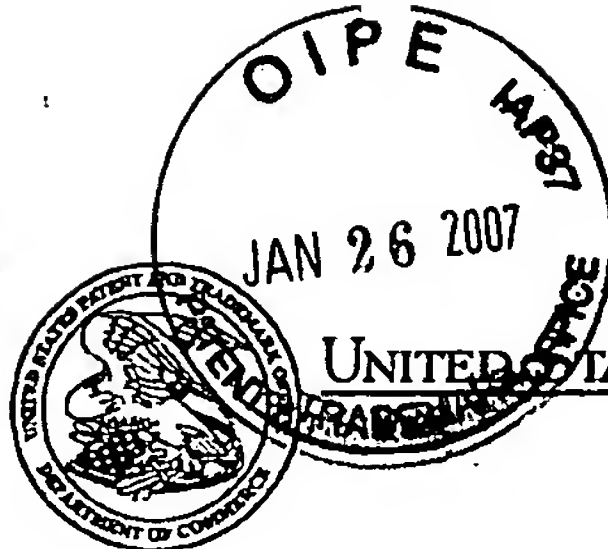
Respectfully submitted,



James L. DeCesare
Agent for the Applicant(s)
Reg. No. 27,979

Date: 10-19-04

JOT

JT
CAF

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/576,771	Henrique A. Cazaroto	SN140PCT1

INTERNATIONAL APPLICATION NO.

PCT/US04/35370

I.A. FILING DATE	PRIORITY DATE
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10/21/2004

10/23/2003

137

DOW CORNING CORPORATION CO1232
 2200 W. SALZBURG ROAD
 P.O. BOX 994
 MIDLAND, MI 48686-0994

RECEIVED

JAN 22 2007

PATENT DEPT
 DOW CORNING

CONFIRMATION NO. 8203

371 FORMALITIES LETTER



OC000000022031026

Date Mailed: 01/18/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/21/2006
- Copy of the International Search Report filed on 04/21/2006
- Preliminary Amendments filed on 04/21/2006
- Information Disclosure Statements filed on 04/21/2006
- U.S. Basic National Fees filed on 04/21/2006
- Priority Documents filed on 04/21/2006
- Specification filed on 04/21/2006
- Claims filed on 04/21/2006
- Abstracts filed on 04/21/2006
- Drawings filed on 04/21/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/576,771	PCT/US04/35370	SN140PCT1

FORM PCT/DO/EO/905 (371 Formalities Notice)